

CIA/OER/S-06635-74 REVIEW OF GATT CODING SCHEMES  
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01 OF 01

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**CENTRAL INTELLIGENCE AGENCY  
WASHINGTON, D.C. 20505**

CIA/OER/S-06635-74

22 November 1974

**MEMORANDUM FOR: Mr. Steven Falken  
Office of the Special Representative  
for Trade Negotiations**

**SUBJECT : Review of GATT Coding Schemes**

1. Attached are four sets of codes and text that are to be incorporated into the STR Centralized Data Base. They were developed from GATT documentation and the 1970 and 1971 trade tapes. We would like you to review them carefully, as they will become part of the data base documentation.

2. Attachment I lists the GATT country codes and text. Codes 13, 14, and 15 apply to the 1964, 1967, and 1970 data which have not been updated.

3. Attachment II-A contains the possible combinations of GSP codes and text from the GATT documentation. Attachment II-B lists those codes that actually appear on the 1970 and 1971 GATT tapes. Please check to see that the descriptions are accurate, particularly for the codes in II-B.

4. Attachment III-A contains the binding codes and text contained in the GATT documentation. Attachment III-B lists those codes that actually appear on the 1970 and 1971 GATT tapes. Please check to see that the descriptions are accurate, particularly for the codes in III-B.

5. Attachment IV is a list of all 1970 and 1971 GATT Duty Records which have a utility code of other than "99". This information was requested by several other agencies participating in the STR Centralized Data Base Project.

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6. Please provide copies of the attachments to the other participating agencies. We would like to have any comments by 4 December 1974.

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7. [REDACTED] (143-5943) will be pleased to answer any questions you may have on this subject.



Chief  
Trade and Monetary Analysis Branch  
Office of Economic Research

Attachments:  
As stated

Distribution: (S-6635)  
Orig & 1 - Addressee  
1 - D/OER, SA/ER  
1 - D/I  
1 - St/P  
1 - [REDACTED] (w/out attach)  
2 - I/TM

STATINTL

OER/I/TM/[REDACTED]/ml/7717 (22 November 1974)

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### ATTACHMENT I

#### Country Codes and Text

<u>Code</u>	<u>Text</u>
01	United States
02	Canada
03	Japan
04	EEC (9)
05	Austria
06	Finland
07	Norway
08	Sweden
09	Switzerland
10	Australia
11	New Zealand
13	EEC (6)
14	United Kingdom
15	Denmark

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**FOR OFFICIAL USE ONLY****ATTACHMENT II-A****GSP Codes and Text**

<u>Code</u>	<u>Text</u>
N	GSP not applicable
O	Duty-free admission under GSP
O*	Duty-free admission under GSP for a limited number of countries
OQ	Duty-free admission subject to quota
OQ*	Duty-free admission subject to quota for a limited number of countries
R	Admission at reduced rate
R*	Admission at reduced rate for a limited number of countries
RO	Part of the tariff line duty-free, other part admitted at reduced GSP rates
RO*	Part of the tariff line duty-free, other part admitted at reduced GSP rates, for a limited number of countries
OS	Duty-free admission under a surveillance regime
OS*	Duty-free admission under surveillance regime for a limited number of countries
RS	Admission at reduced rates under surveillance regime
RS*	Admission at reduced rates under surveillance regime for a limited number of countries
RQ	Admission at reduced rate subject to quota
RQ*	Admission at reduced rate subject to quota for a limited number of countries

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ROQ	Part of tariff line admitted duty-free subject to quota, other part admitted at reduced GSP rates
ROQ*	Part of tariff line admitted duty-free subject to quota, other part admitted at reduced GSP rates for a limited number of countries
XO	Duty-free admission under GSP for part of tariff line
XO*	Duty-free admission under GSP for part of tariff line for a limited number of countries
XOQ	Duty-free admission subject to quota for part of tariff line
XOQ*	Duty-free admission subject to quota for part of tariff line for a limited number of countries
XR	Admission at reduced rate for part of tariff line
XR*	Admission at reduced rate for part of tariff line for a limited number of countries
XS	Surveillance applicable to part of tariff line
XS*	Surveillance applicable to part of tariff line for a limited number of countries
XRO	Part of the tariff line duty-free or admitted at reduced rate
XRO*	Part of the tariff line duty-free or admitted at reduced rate for a limited number of countries
XOS	Duty-free admission under a surveillance regime for part of the tariff line
XOS*	Duty-free admission under a surveillance regime for part of the tariff line for a limited number of countries
XRS	Part of the tariff line admitted at reduced rates under surveillance regime
XRS*	Part of the tariff line admitted at reduced rates under surveillance regime for a limited number of countries
XRQ	Part of the tariff line admitted at reduced rates subject to quota

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## ATTACHMENT II-B

### GSP CODES APPEARING ON THE GATT TAPES

GSP CODE =N  
GSP CODE =X R  
GSP CODE =R  
GSP CODE =X U  
GSP CODE =U  
GSP CODE =SU  
GSP CODE =UQ  
GSP CODE =X UO  
GSP CODE =U\*  
GSP CODE =SU\*  
GSP CODE =UQ\*  
GSP CODE =XUQ\*  
GSP CODE =XSU\*  
GSP CODE =X U\*  
GSP CODE =X SU  
GSP CODE =X SR  
GSP CODE =SR  
GSP CODE =RU  
GSP CODE =KQ  
GSP CODE =X RQ  
GSP CODE =RQ

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**FOR OFFICIAL USE ONLY****ATTACHMENT III-A**Co**BINDING CODES AND TEXT**

<u>Code</u>	<u>Type of Duty</u>	<u>Binding</u>
A	Specific rate	Fully bound ar prevailing rate
B	Ad valorem rate	Fully bound at prevailing rate
C	Combined specific and ad valorem rate	Fully bound at prevailing rate
D	Combined specific and ad valorem rate	Not bound
E	Specific rate	Not bound
F	Combined specific and ad valorem rate	Fully bound at ceiling rate
G	Mixed ad valorem/specific rate with specific/ad valorem minimum of maximum	Fully bound at prevailing rate
H	Ad valorem rate	Partially bound at ceiling rate
I	Combined specific and ad valorem rate	Partially bound at ceiling rate
J	Ad valorem rate	Fully bound at ceiling rate
K	Specific rate	Fully bound at ceiling rate
L	Mixed Ad valorem/specific rate with specific/ad valorem minimum or maximum	Not bound
M	Specific rate	Partially bound at prevailing rate
N	Ad valorem rate	Not bound
O	Specific rate	Partially bound at ceiling rate
P	Ad valorem rate	Partially bound at prevailing rate
R	Mixed ad valorem/specific rate with specific/ad valorem minimum or maximum	Fully bound at ceiling rate
T	Mixed ad/valurem/specific rate with specific/ad valorem minimum or maximum rate	Partially bound at prevailing rate

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<u>Code</u>	<u>Type of Duty</u>	<u>Binding</u>
U	Mixed ad valorem/specific rate with specific/ad valorem minimum or maximum	Partially bound at ceiling rate
<u>Codes in the Binding Column Relating to Special Conditions</u>		
V	Variable levy, variable component or tax	
W	In the EC file "Glément mobile" and/or "das"	
X	In the Australian file, the duty rate includes primage duty of 5%	
Y	In the Australian file, the duty rate includes primage duty of 10%	
Z	In the United Kingdom, Australia, and New Zealand's file, ordinary n.f.n. customs surcharge (not including fiscal duty) calculated in relation to preferential duty	
8	In all files, duty rate is established on the basis of rates applicable to the component parts	
	In the case of the United States preceding the binding code, additional duties are applicable, but have not been included in the incidence	
/	The ad valorem incidence of the duty is an estimate	

Various combinations of symbols have been used to indicate that the item is bound at different rates or types of duties (e.g., ad valorem, specific rate, etc.):

BJ )  
 AK )  
 CF )  
 BK )  
 BR )  
 etc.)

Fully bound, part at prevailing rate, part at ceiling rate

JR

Fully bound at different ceiling rates

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Code

Type of Duty

Binding

PH )  
MO )  
TU )  
etc.)

Partially bound, part at  
prevailing rate, part at  
ceiling rate

KU

Partially bound at different  
ceiling rates

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## ATTACHMENT III-B

### BINDING CODES APPEARING ON THE GATT TAPES

BINDING CODE =E	BINDING CODE =AK
BINDING CODE =N	BINDING CODE =D+
BINDING CODE =+*	BINDING CODE =N+
BINDING CODE =A	BINDING CODE =L+
BINDING CODE =+A	BINDING CODE =Z+
BINDING CODE =E	BINDING CODE =Z
BINDING CODE =C	BINDING CODE =*F
BINDING CODE =P	BINDING CODE =W
BINDING CODE =+E	BINDING CODE =W+
BINDING CODE =+C	BINDING CODE =JZ
BINDING CODE =+F	BINDING CODE =PZ
BINDING CODE =K	BINDING CODE =N*
BINDING CODE =+K	BINDING CODE =ZJ
BINDING CODE =FK	BINDING CODE =FY
BINDING CODE =F*	BINDING CODE =NY
BINDING CODE =U	BINDING CODE =DY
BINDING CODE =M	BINDING CODE =E*
BINDING CODE =D	BINDING CODE =NX
BINDING CODE =*A	BINDING CODE =LY
BINDING CODE =*C	BINDING CODE =U
BINDING CODE =*	BINDING CODE =LX
BINDING CODE =+G	BINDING CODE =DX
BINDING CODE =G	BINDING CODE =*X
BINDING CODE =J	BINDING CODE =EX
BINDING CODE =A+	BINDING CODE =ER
BINDING CODE =E+	BINDING CODE =AJ
BINDING CODE =G+	BINDING CODE =NR
BINDING CODE =C+	BINDING CODE =M+
BINDING CODE =K+	BINDING CODE =T
BINDING CODE =B+	BINDING CODE =MO
BINDING CODE =L	BINDING CODE =GR
BINDING CODE =BJ	BINDING CODE =HF
BINDING CODE =F	BINDING CODE =KJ
BINDING CODE =H	BINDING CODE =U+
BINDING CODE =R	BINDING CODE =R+
BINDING CODE =JF	BINDING CODE =P*
BINDING CODE =NV	BINDING CODE =JR
BINDING CODE =V	BINDING CODE =J+
BINDING CODE =RV	BINDING CODE =TU
BINDING CODE =MV	BINDING CODE =HU
BINDING CODE =NW	BINDING CODE =PU
BINDING CODE =PH	BINDING CODE =AR
BINDING CODE =BW	BINDING CODE =J*
BINDING CODE =EV	BINDING CODE =LV
BINDING CODE =AV	BINDING CODE =PV
BINDING CODE =KV	BINDING CODE =HU

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**FOR OFFICIAL USE ONLY****ATTACHMENT IV****GATT DUTY RECORDS WITH UTILITY CODES NOT EQUAL TO "99"\***

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\*Duty code appears in the right-most column.

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